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Application Number	10/043,649	
Filing Date	January 10, 2002	
First Named Inventor	Helena Mancebo	
Group Art Unit	1645	E
Examiner Name	Unassigned	至
Attorney Docket Number	021044-007710US	迅

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Name	Dolly	A. Vance		Genen	al Counse	I, VP	Intellectual I	Prop	erty
Signature	(9-								
Date	May	5, 2003							
Submit multiple		ventors or assignees han one signature is			nterest or their	represei	ntative(s) are require	ed.	

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## **POWER OF ATTORNEY OR AUTHORIZATION OF AGENT**

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Applicati n Number	10/043,649	
Filing Date	January 10, 2002	
First Named Inventor	Helena Mancebo	
Title	Cloning of a Novel Inhibitor	
Group Art Unit	1645	田田
Examiner Name	Unassigned	Ŧ
Attorney Docket Number	021044-007710US	觅

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Applica Applica	ant/Invento	or.					1
☐ Assign	ee of reco	ord of the entire interest. Se	ee 37 CFR 3.71				ł
Statem	ent under	37 CFR 3.73(b) is enclose	d. (Form PTO/S	B/96).	<del></del>		-
	÷	SIGNATUR	E of Applicant	or Assignee of Re		Nacional December	<u>.</u>
Name	Do	lly A. Vance		General Co	ounsel, VP inte	ellectual Prope	dra.
Signature	<u>(</u> 9	<del></del>					1
Date		y 5, 2003					
		III the inventors or assignation if more than one signatur			t or their representat	ve(s) are required.	
□ *Total of		ms are submitted.	o io required, S	CC DEION .			1

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PTO/SB/96 (08-00)

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Attorney Docket No. 021044-007710US

### STATEMENT UNDER 37 CFR 3.73(b)

<u> </u>	· ·
Applicant/Patent Owner: Rigel Pharmaceution	cals, Inc.
Application No./Patent No.: 10/043,649	Filed/Issue Date: January 10, 2002
Entitled: Cloning of a Novel Inhibitor of Ant	igen-Receptor Signaling by a Retroviral-Based Functional Screen
Rigel Pharmaceuticals, Inc.	, a Delaware corporation
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:	ļ
1. X the assignee of the entire right,	title, and interest; or
2. an assignee of less than the entrance and assignee of less than the entrance and the extent (by, percentage) of it	
n the patent application/patent identified abo	ove by virtue of either:
	the patent application/patent identified above. The assignment ent and Trademark Office at Reel, Frame for
OR	
B. A chain of title from the inventor(s), of as shown below:	the patent application/patent identified above, to the current assignee
	To: ne United States Patent and Trademark Office at which a copy thereof is attached.
2. From:	То :
	ne United States Patent and Trademark Office at which a copy thereof is attached.
3. From:	То :
	ne United States Patent and Trademark Office at
Reel, Frame, or for	which a copy thereof is attached.
☐ Additional documents in the chair	n of title are listed on a supplemental sheet.
	assignment document or a true copy of the original document) n in accordance with 37 CFR Part 3, if the assignment is to be
The undersigned (whose title is supplied belo	ow) is authorized to act on behalf of the assignee.
	-
May 5, 2003	Dolly A. Vance
Date	Typed or printed name
	Signature  Signature
	General Counsel, VP Intellectual Property
	Title

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#### **ASSIGNMENT**



#### WHEREAS, the undersigned

- 1) Helena MANCEBO resident of Fremont, county of Alameda, state of California
- 2) Sacha J. HOLLAND, resident of San Francisco, country of San Francisco, state of California
- 3) Jun WU, resident of Shanghai 201203, Peoples Republic of China
- 4) Charlene X. LIAO, resident of Palo Alto, county of Santa Clara, state of California

(hereinafter termed "Inventor(s)"), has invented certain new and useful improvements in

# CLONING OF A NOVEL INHIBITOR OF ANTIGEN-RECEPTOR SIGNALING BY A RETROVIRAL-BASED FUNCTIONAL SCREEN

for which an application for a United States Patent was filed on 10 January 2002 having Application Serial Number 10/043,649 and

WHEREAS, RIGEL PHARMACEUTICALS, INC., a corporation of the State of Delaware having a place of business at 240 East Grand Avenue, South San Francisco, California 94080, hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

- I. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public

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use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee as of the dates below written adjacent to each of their respective signatures.

Signature of In	ventor:	7	V	W	
		Helena MANCEE	80		
	Date :	10		<u> </u>	2002
County of ALAMEDA	) ) ss.				
State of CALIFORNIA	)				
On this 1ST DIANA SMITH, Note	_ day of	f OCTOBER	In the	year 2002, before m	e,
personally appeared Helena MANC the basis of satisfactory evidence) to within instrument, and acknowledge capacity(ies), and that by his/her sign upon behalf of which the person act	EBO, per be the ed that #	ersonally known person whose name/she executed to the instrument	to me ame is the sa the p	(or proved to me on subscribed to the me in his/her authoriz	
WITNESS my hand and offic	ial seal.				
Signature Diara Smit	<u>l</u>				
		¥.		DIANA SMITH Comm. # 1325134 NOTARY PUBLIC - CALIFORNIA Alameda County My Comm. Expires Oct. 14, 2005	Seal)

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Signature of Inventor: Jacka Hollcol	<del></del>
Sacha J. HOLLAND	
Date: 10/4/2002  County of San Matter )	, 2002
State of California ) ss.	
On this day of Oction In the year 2002, before with a personally appeared Sacha J. HOLLAND, personally known to me (or proved to the within instrument, and acknowledged that he/she executed the same in his/her authorapacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.	on orized
WITNESS my hand and official seal.  REBECCA CAZARES  COMM # 1250353  NOTARY PUBLIC - CALIFORNIA # SAN MATEO COUNTY  My COTTY: Exp. Jan. 17, 2004	(Seal)
Signature of Inventor :	
Jun WU	
Date :	, 2002



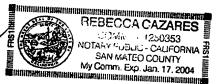
Signate	ure of Inventor :		
	Sacha J.	HOLLAND	
	Date :		, 2002
County of	) ) ss.		
State of	ý		
personally appeared Sacha J. the basis of satisfactory evid within instrument, and ackn- capacity(ies), and that by his upon behalf of which the pe	. HOLLAND, personally ence) to be the person owledged that he/she existence in the interest acted, executed the interest acted, executed the interest acted.	whose name is subscribed xecuted the same in his/hei strument the person, or th	to me on to the r authorized
WITNESS my hand a	and official seal.		
SignatureSignat	ure of Inventor :	Ala	(Seal)
		<del></del>	
	Jun Wi	U	
	Date :	Oct 18	, 2002

Signature of Inventor:

Charlene X. LIAO

WITNESS my hand and official seal.

ignature Kelleen (k.



(Seal)